

08/624.130



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 96,065)

Inventor: Todd E. Cripe et al. Paper No.:  
U.S. Patent No.: 5,724,769 Group Art Unit: 3509  
Issued: March 10, 1998 Examiner: C. Cohen  
Title: Motor Vehicle Window Construction With Pull-Pull Cable System  
\*\*\*\*\*

PETITION UNDER 37 C.F.R. §§ 1.182 & 1.183

Assistant Commissioner for Patents  
Office of Petitions  
Washington, DC 20231

RECEIVED  
MAY 19 1998  
OFFICE OF PETITIONS  
DEPUTY COMMISSIONER OF PATENTS

Sir:

Pursuant to 37 C.F.R. §§ 1.182 & 1.183, the undersigned hereby submits this petition requesting that 37 C.F.R. § 3.81(a) be waived to permit the correct name of the assignee to be provided after issuance of the above-identified patent, and that the Letters Patent for the above identified patent be reprinted with the correct assignee's name.

The assignee's name was typed incorrectly on the Issue Fee Transmittal Form PTOL-85B, a copy of which is enclosed, for the above-identified patent. As submitted, and printed on the issued patent, the assignee's name is incorrectly spelled "Excell Industries, Inc." The correct spelling of the assignee's name is "Excel Industries, Inc." The undersigned hereby states that the failure to include the correct assignee name on the Issue Fee Transmittal Form PTOL-85B was inadvertent.

Also enclosed is a copy of the Notice of Recordation of Assignment, which shows the correct spelling of the assignee's name, and the official Letters Patent.

APPROVED

NOV 12 1998  
*May H. Owen*  
THE COMMISSIONER OF PAT. & T.M.

06/19/1998 JBURKE 00000019 190733 5724769

01 FC:122 130.00 CH  
02 FC:145 100.00 CH

The undersigned hereby respectfully requests that this petition be granted in order for a certificate of correction under 37 C.F.R. § 1.323 to be issued, and that the Letters Patent be reprinted with the assignee's name spelled correctly as "**Excel Industries, Inc.**"

The Commissioner is hereby authorized to charge the petition fee of \$130.00 pursuant to 35 C.F.R. § 1.17(h) to Deposit Account No. 19-0733, and to charge any other fees deemed necessary by the Commissioner to the same Deposit Account.

Respectfully submitted,  
Todd E. Cripe et al.



Peter D. McDermott  
Reg. No. 29,411  
Attorney for Applicants  
Banner & Witcoff, Ltd.  
Ten South Wacker Drive  
Chicago, IL 60606  
(617) 345-9100

**EXPRESS MAIL CERTIFICATION**

"Express Mail" mailing label number: EM434065196US

Date of deposit: May 15, 1998

I hereby certify that this petition and the other papers and fees identified in this transmittal letter as being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Office of Petitions, Washington, D.C. 20231.

  
Peter D. McDermott

(U.S. Pat. No. 5,724,769)

Page 2

10am  
96,065

Issue Fee  
Dec. 15 1997

PART B—ISSUE FEE TRANSMITTAL

**MAILING INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE. Blocks 2 through 6 should be completed where appropriate. All further correspondence including the issue Fee Receipt, the Patent, advance orders and notification of maintenance fees will be mailed to addresses entered in Block 1 unless you direct otherwise, (a) specifying a new correspondence address in Block 3 below; or (b) providing the PTO with a separate "FEE ADDRESS" for maintenance fee notifications with the payment issue Fee or thereafter. See reverse for Certificate of Mailing, below.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**Estimated Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Issue Fee, Assistant Commissioner for Patents, Washington D.C. 20231

1. CORRESPONDENCE ADDRESS  
PETER D MODERMOTT  
BANNER & ALLEGRETTE LTD WITCOFF, LTD  
TEN SOUTH WACKER DR  
CHICAGO IL 60606

2. INVENTOR(S) ADDRESS CHANGE (Complete only if there is a change)  
INVENTOR'S NAME  
Street Address  
City, State and Zip Code  
CO-INVENTOR'S NAME  
Street Address  
City, State and Zip Code  
☐ Check if additional changes are enclosed

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
08/624,130	03/29/96	027	COHEN, C 3509	09/15/97

First Named Applicant **CRIFE, TODD E.**

TITLE OF INVENTION **MOTOR VEHICLE WINDOW CONSTRUCTION WITH PULL-PULL CABLE SYSTEM**

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
3 96.065	049-360.000	R65	UTILITY	NO	\$1,320.00 <del>\$1,200.00</del>	12/15/97

Correspondence address change (Complete only if there is a change)

BANNER & WITCOFF, LTD.  
TEN SOUTH WACKER DRIVE  
CHICAGO, ILLINOIS 60606

4. For printing on the patent front page, list the names of not more than 3 registered patent attorneys or agents OR, alternatively, the name of a firm having as a member a registered attorney or agent. If no name is listed, no name will be printed.

1 BANNER & WITCOFF, LTD.  
2 \_\_\_\_\_  
3 \_\_\_\_\_

ASSIGNMENT DATA TO BE PRINTED ON THE PATENT (print or type)

1) NAME OF ASSIGNEE: Excell Industries, Inc.  
2) ADDRESS: (CITY & STATE OR COUNTRY) Elkhart, Indiana

- ☐ This application is NOT assigned.  
☒ Assignment previously submitted to the Patent and Trademark Office.  
☐ Assignment is being submitted under separate cover. Assignment should be directed to Box ASSIGNMENTS.

**PLEASE NOTE:** Unless an assignee is identified in Block 5, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

5a. The following fees are enclosed:  
☒ Issue Fee ☒ Advance Order - # of Copies TEN (10)  
5b. The following fees should be charged to:  
DEPOSIT ACCOUNT NUMBER 01-0850  
(ENCLOSE A COPY OF THIS FORM)  
☐ Issue Fee ☐ Advance Order - # of Copies \_\_\_\_\_  
☒ Any Deficiencies in Enclosed Fees \_\_\_\_\_  
The COMMISSIONER OF PATENTS AND TRADEMARKS is requested to apply the Issue Fee to the application identified above.  
(Authorized Signature) [Signature] (Date) 12/12/97  
NOTE: The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

Certificate of Mailing

Note: If this certificate of mailing is used, it can be used to transmit the Issue Fee. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in

envelope addressed to: **Box ISSUE FEE  
Assistant Commissioner for Patents  
Washington, D.C. 20231**

Date: 12/12/97 (Date)  
Terry Sample (Name of person making deposit)  
[Signature] (Signature)  
12/12/97 (Date)



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APRIL 26, 1997

BANNER & WITCOFF, LTD.  
PETER D. MCDERMOTT  
SUITE 2300  
75 STATE STREET  
BOSTON, MA 02109



\*100369853A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/28/1997

REEL/FRAME: 8374/0306  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
CRIPE, TODD E.

DOC DATE: 03/28/1996

ASSIGNEE:  
EXCEL INDUSTRIES, INC.  
1120 NORTH MAIN STREET  
ELKHART, INDIANA 46515

SERIAL NUMBER: 08624130  
PATENT NUMBER: 96,065

FILING DATE: 03/29/1996  
ISSUE DATE:

DOROTHY RILEY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

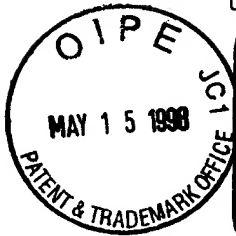
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SN 08/624,130

DAG

Approved for use through 9/30/98. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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EM434065196US  
FEE TRANSMITTAL

## Complete if Known

Application Number	U.S. Pat. No. 5,724,769
Issue Date	Date: March 10, 1998
First Named Inventor	Todd E. Cripe
Group Art Unit	3509
Examiner Name	C. Cohen
Attorney Docket Number	96.065

TOTAL AMOUNT OF PAYMENT (\$) 130.00

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 19-0733  
Deposit Account Name: Banner & Witcoff, Ltd

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION (fees effective 10/01/96)

## 1. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 770	201 385	Utility filing fee	
106 320	206 180	Design filing fee	
107 530	207 265	Plant filing fee	
108 770	208 385	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
-20 =	X		
Independent Claims - 3 =	X		
Multiple Dependent Claims	X		

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 22	203 11	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
109 80	209 40	Reissue independent claims over original patent
110 22	210 11	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
135 130	139 130	Non-English specification	
147 2,460	147 2,460	For filing a request for reexamination	
112 800	112 800	Requesting publication of SIR prior to Examiner action	
113 1,790	113 1,790	Requesting publication of SIR after Examiner action	
115 150	115 55	Extension for response within first month	
116 300	116 195	Extension for response within second month	
117 600	117 465	Extension for response within third month	
118 1,470	118 735	Extension for response within fourth month	
119 300	119 150	Notice of Appeal	
120 300	120 150	Filing a brief in support of an appeal	
121 260	121 130	Request for oral hearing	
138 1,470	138 1,470	Petition to institute a public use proceeding	
140 110	140 55	Petition to revive unavoidably abandoned application	
141 1,290	141 645	Petition to revive unintentionally abandoned application	
142 1,290	142 645	Utility issue fee (or reissue)	
143 440	143 220	Design issue fee	
144 650	144 325	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 230	126 230	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 770	146 385	Filing a submission after final rejection (37 CFR 1.129(a))	
149 770	149 385	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) Petition Fee pursuant to 37 CFR 1.182 & 1.183			130.00
Other fee (specify)			

SUBTOTAL (3) (\$130.00)

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Typed or Printed Name

Peter D. McDermott, Banner &amp; Witcoff

Signature

Date

5/15/98

## Complete (if applicable)

Reg. Number

29,411

Deposit Account User ID

29,411

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231